

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)

(Use several sheets if necessary)

Date Submitted to PTO: **August 23, 2001**

ATTY DOCKET NO.

1263.1203

APPLICATION NO.

~~Not Yet Assigned~~ #6

APPLICANT

Jason Peter Andrew Charlesworth, et al.

FILING DATE

August 23, 2001

GROUP

2654
~~Not Yet Assigned~~

1c971 U.S. PTO
09/934799



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
DL5	5,577,249	11/19/1996	Califano	707 305	100 511	
DL5	5,799,267	08/25/1998	Siegel	704	1	
DL5	5,835,667	11/10/1998	Wactlar, et al.	386	96	
DL5	6,026,398	02/15/2000	Brown, et al.	707	5	

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
DL5	WO 98/47084	10/22/1998	PCT	345	302	YES
DL5	WO 99/05681	02/04/1999	PCT	382	305	YES
DL5	0 597 798 A1	05/18/1994	EUROPE	704	200	YES
DL5	0 649 144 A1	04/19/1995	EUROPE	704	278	YES
DL5	GB 2 331 816 A	06/02/1999	UNITED KINGDOM	707	5	YES

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DL5	Petteri Jokinen, et al., A Comparison of Approximate String Matching Algorithms, <i>Practice and Experience</i> , Vol. 26(12), 1439-1458 (December 1996).
DL5	Stefan Besling, A Statistical Approach to Multilingual Phonetic Transcription, <i>Philips Journal of Research</i> , Vol. 49, No. 4 (1995), 367-379.
DL5	R. Haeb-Umbach, et al., Automatic Transcription of Unknown Words in a Speech Recognition System, <i>IEEE</i> (1995), 842-843 , pp. 840 and 843

EXAMINER

Donald L. Storm

DATE CONSIDERED

10/7/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

Sheet 1 of 3

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i> Date Submitted to PTO: August 23, 2001		ATTY DOCKET NO. 1263.1203	APPLICATION NO. 09734799 #6 Not Yet Assigned
APPLICANT Jason Peter Andrew Charlesworth, et al.		FILING DATE August 23, 2001	
GROUP 2654 Not Yet Assigned			

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
DLS	M.J. Witbrock, et al., Using Words and Phonetic Strings for Efficient Information Retrieval from Imperfectly Transcribed Spoken Documents, 1997, <i>2 pages</i> .
DLS	Kenney Ng, Survey of Approaches to Information Retrieval of Speech Messages, February 16, 1996, pp <i>ODD NUMBERED PAGES 1-33.</i>
DLS	Kenney Ng, et al., Subword Unit Representations for Spoken Document Retrieval, <i>EUROPSEECH</i> , 1997, <i>SECTIONS 1 and 4.</i>
DLS	Kenney Ng, et al., Phonetic Recognition for Spoken Document Retrieval, <i>ICASSP</i> , 1998, <i>SECTIONS 5, 2, & 6.</i>
DLS	Steve Cassidy, et al., EMU: an Enhanced Hierarchical Speech Data Management System, <i>Proc. of the 6th Australian Speech Science and Technology Conference Adelaide</i> , 1996, pp. 381-384 <i>381, 383, 385.</i>
DLS	C. Gagnoulet, et al., MAIRIEVOX: A voice-activated information system, <i>Speech Communication</i> , 10(1), 1991, pp. 23-25 <i>23, 25, 27, 29, 31.</i>
DLS	Steven Bird, et al., Towards a Formal Framework for Linguistic Annotations, December 1998, <i>SECTIONS 1-10.</i>
DLS	Steven Bird, et al., A Formal Framework for Linguistic Annotation, March 1999, <i>ABSTRACT, PP. 1-49.</i>
DLS	Martin Wechsler, et al., Spoken Document Retrieval Based on Phoneme Recognition, 1998, pp. 1-121.
DLS	Jerry H. Wright, et al., Statistical Models for Topic Identification Using Phoneme Substrings, <i>IEEE</i> , 1996, pp. 307-310.

EXAMINER <i>Donald L. Stern</i>	DATE CONSIDERED <i>10/7/02</i>
--	---------------------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)

(Use several sheets if necessary)

Date Submitted to PTO: **August 23, 2001**

ATTY DOCKET NO.

1263.1203

APPLICATION NO.

Not Yet Assigned09934795²⁶

APPLICANT

Jason Peter Andrew Charlesworth, et al.

FILING DATE

August 23, 2001

GROUP

2654

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DLS	Yutaka Kobayashi, et al., Matching Algorithms Between a Phonetic Lattice and Two Types of Templates – Lattice and Graph, IEEE, 1985, pp. 1597-1600.
DLS	G. Micca, et al., Three Dimensional DP for Phonetic Lattice Matching, Digital Signal Processing-87, (1987), pp. 547-551.
DLS	J. T. Foote, et al., Unconstrained Keyword Spotting Using Phone Lattices With Application to Spoken Document Retrieval, Computer Speech and Language, 11, 1997, pp. 207-224.

EXAMINER

Donald L. Storm

DATE CONSIDERED

10/7/02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

Sheet 3 of 3

GMJ/vmj

